



Distr.: General

22 June 2015

English only

**Conference of the Parties to the Basel Convention
on the Control of Transboundary Movements of
Hazardous Wastes and Their Disposal
Twelfth meeting**

Geneva, 4–15 May 2015

Agenda item 4 (b) (iv)

**Matters related to the implementation of the Convention:
scientific and technical matters: national reporting**

Reporting format for the Basel Convention national reporting

Note by the Secretariat

1. At its twelfth meeting, the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal adopted, as amended, in decision BC-12/6 on national reporting, the revised format for national reporting on the basis of the draft revised format for national reporting set out in document UNEP/CHW.12/INF/16 which had been elaborated by the lead country, Germany, in consultation with the small intersessional working group on national reporting, and taking into account all comments received by parties.
2. The final version of the revised format for national reporting, as adopted, is set out in the annex to the present note for use by parties to report for the year 2016 and onwards.
3. The present note, including its annex, has not been formally edited.

Annex

Revised reporting format for the Basel Convention national reporting

Revised version: 12 May 2015

Secretariat of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal

Questionnaire on "Transmission of Information" Reporting for the year 20XX^{1,2}

¹ Adopted by COP12 in decision BC-12/6.

² The questionnaire will be made available in the electronic reporting system of the Basel Convention.

Competent Authority and Focal Point, Measures to Implement and Enforce the provisions of the Convention

1a	<p>Designated Competent Authority to the Basel Convention (Articles 13.2(a), 13.3(a))</p> <p>In accordance with Article 5 of the Convention, Parties shall designate or establish <u>one or more competent authorities</u>.</p> <p>According to the Secretariat's records the Competent Authority(ies) in your country is(are):</p> <table border="1" data-bbox="342 558 1433 680"> <thead> <tr> <th>Organization:</th> <th>Department:</th> <th>Job Position:</th> <th>Full Name:</th> <th>Tel:</th> <th>Fax:</th> <th>Address:</th> <th>e-Mail:</th> <th>Web site</th> </tr> </thead> <tbody> <tr> <td>CA1</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>CA2</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>CAx</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site	CA1									CA2									CAx								
Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site																													
CA1																																					
CA2																																					
CAx																																					
1a.1	<p>Do you want to update the above-mentioned information pertaining to the existing Competent Authority/ies or notify the Secretariat of the designation of one or more new Competent Authority/ies? (Articles 13.2(a), 13.3(a))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p><i>(If the user chooses "yes" the following options appear 1a.2 and 1a.3)</i></p>																																				
1a.2	<p>Request the Secretariat to update the information pertaining to the existing Competent Authority/ies or notify the Secretariat of the designation of one or more new Competent Authorities. (Articles 13.2(a), 13.3(a))</p> <p>Please note that the updated or new designations transmitted here will be confirmed by the Secretariat, in accordance with the relevant decisions of the COPs. Only once updates or designations are confirmed, will the Basel Convention contacts database, the website and the information shown under question 1a of this questionnaire be updated. The Secretariat may need to contact the Party for any additional information or clarification that might be required in this regard.</p> <p>The table below contains pre-filled information from the Secretariat's existing records, but you can modify, delete or add information by using the "edit", "delete" or "add" features.</p> <table border="1" data-bbox="342 1503 1433 1577"> <thead> <tr> <th>Organization:</th> <th>Department:</th> <th>Job Position:</th> <th>Full Name:</th> <th>Tel:</th> <th>Fax:</th> <th>Address:</th> <th>e-Mail:</th> <th>Web site</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>111</td> <td>222</td> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>edit</td> <td>delete</td> </tr> </tbody> </table> <p><i>Add button</i></p>	Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site			XXX	XXX	XXX	XXX	111	222	XXX	XXX	XXX	edit	delete														
Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site																													
XXX	XXX	XXX	XXX	111	222	XXX	XXX	XXX	edit	delete																											
1a.3	<p>Upload supporting documents.</p> <p>Attach form for notification of designation of <u>contacts</u>, completed by a duly authorized entity, and any supporting documents e.g. nomination letters. Kindly note that self-nominations will not be considered.</p> <p><i>(box to select and upload file)</i></p>																																				

1b	<p>Designated Focal Point to the Basel Convention. (Articles 13.2(a), 13.3(a))</p> <p>In accordance with Article 5 of the Convention, Parties shall designate or establish <u>one focal point</u>.</p> <p>According to the Secretariat's records the Focal Point in your country is:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 12.5%;">Organization:</th> <th style="width: 12.5%;">Department:</th> <th style="width: 12.5%;">Job Position:</th> <th style="width: 12.5%;">Full Name:</th> <th style="width: 12.5%;">Tel:</th> <th style="width: 12.5%;">Fax:</th> <th style="width: 12.5%;">Address:</th> <th style="width: 12.5%;">e-Mail:</th> <th style="width: 12.5%;">Web site</th> <th style="width: 12.5%;"></th> <th style="width: 12.5%;"></th> </tr> </thead> <tbody> <tr> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>111</td> <td>222</td> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td style="text-align: center;">edit</td> <td style="text-align: center;">delete</td> </tr> </tbody> </table>	Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site			XXX	XXX	XXX	XXX	111	222	XXX	XXX	XXX	edit	delete
Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site															
XXX	XXX	XXX	XXX	111	222	XXX	XXX	XXX	edit	delete													
1b.1	<p>Do you want to update the above-mentioned information pertaining to the existing Focal Point or notify the Secretariat of a new Focal Point? (Articles 13.2(a), 13.3(a))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p><i>(If the user chooses "yes" the following options appear 1b.2 and 1b.3)</i></p>																						
1b.2	<p>Request to the Secretariat to update information pertaining to the existing Focal Point or notify the Secretariat of the designation of a new Focal Point. (Articles 13.2(a), 13.3(a))</p> <p>Please note that the updated or new designations transmitted here will be confirmed by the Secretariat, in accordance with the relevant decisions of the COPs. Only once updates or designations are confirmed, will the Basel Convention contacts database, the website and the information shown under question 1b of this questionnaire be updated. The Secretariat may need to contact the Party for any additional information or clarification that might be required in this regard. Please note that each Party may designate only ONE Focal Point for the Basel Convention.</p> <p>The table below contains pre-filled information from the Secretariat's existing records, but you can modify, delete or add information by using the "edit", "delete" or "add" features.</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 12.5%;">Organization:</th> <th style="width: 12.5%;">Department:</th> <th style="width: 12.5%;">Job Position:</th> <th style="width: 12.5%;">Full Name:</th> <th style="width: 12.5%;">Tel:</th> <th style="width: 12.5%;">Fax:</th> <th style="width: 12.5%;">Address:</th> <th style="width: 12.5%;">e-Mail:</th> <th style="width: 12.5%;">Web site</th> <th style="width: 12.5%;"></th> <th style="width: 12.5%;"></th> </tr> </thead> <tbody> <tr> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td>111</td> <td>222</td> <td>XXX</td> <td>XXX</td> <td>XXX</td> <td style="text-align: center;">edit</td> <td style="text-align: center;">delete</td> </tr> </tbody> </table> <p><i>Add button (which appears only if no FP is in the database, since each Party can only have ONE FP).</i></p>	Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site			XXX	XXX	XXX	XXX	111	222	XXX	XXX	XXX	edit	delete
Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site															
XXX	XXX	XXX	XXX	111	222	XXX	XXX	XXX	edit	delete													
1b.3	<p>Upload supporting documents.</p> <p>Attach form for notification of designation of <u>contacts</u>, completed by a duly authorized entity, and any supporting documents e.g. nomination letters. Kindly note that self-nominations will not be considered.</p> <p><i>(box to select and upload file)</i></p>																						

1c	<p>Measures to implement and enforce the provisions of the Convention (Articles 4.4, 9.5 and 13.3(c))</p> <p>(i) Legislation</p> <p>Has your country adopted legislation to implement the provisions of the Basel Convention?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
(ii)	<p>Does the legislation make provision to <u>prevent illegal traffic</u> of hazardous and other wastes? (Articles 4.4, 9.5 and 13.3(c)) <i>(optional)</i></p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify:</p>
(iii)	<p>Does the legislation provide that <u>illegal traffic is criminal</u>? (Articles 4.3, 4.4, 9.5 and 13.3(c)) <i>(optional)</i></p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, what are the <u>punishments</u> provided:</p> <p>Fine <input type="checkbox"/> Prison <input type="checkbox"/> Other <input type="checkbox"/></p> <p>If other, please specify:</p>
(iv)	<p>Please attach the full text of your legislation(s) to implement the provisions of the Basel Convention, including any legislation referred to in response to other questions or provide the link where the legislation can be found: (Articles 4.4, 9.5 and 13.3(c))</p> <p>Link:</p> <p><i>(box to select and upload file)</i></p>

Wastes Controlled for the Purpose of Transboundary Movement

2a	<p>Is there a national definition of <u>waste</u> used for the purpose of transboundary movements of waste? (Articles 2.1 and 13.3(c))</p> <p style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please provide the text of the national definition of waste:</p>															
2b	<p>Do you have a national definition of hazardous wastes as per Article 1.1(b), which includes wastes in addition to those listed in annexes I, II and VIII? (Articles 3.1, 13.2(b) and 13.3(c))</p> <p style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>Has this definition been changed in the current reporting year?</p> <p style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes:</p> <p>(i) Please specify the national definition of hazardous wastes:</p> <p>(ii) Tick the box or boxes below indicating the list or lists containing such wastes and, in the table below or as an attachment, list the wastes.</p> <p style="margin-left: 40px;"> OECD <input type="checkbox"/> EU-Waste List <input type="checkbox"/> National <input type="checkbox"/> (specify under remarks) Other <input type="checkbox"/> (specify under remarks) </p> <p>General remarks, if any:</p> <p>(iii) If possible, attach a list or provide it in the following table (optional):</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 20%;">National waste codes⁽¹⁾</th> <th style="width: 50%;">Type of waste⁽²⁾</th> <th style="width: 30%;">Remarks, if any</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </tbody> </table> <p><i>(box to select and upload file)</i></p> <p>(iv) Specify any requirements (procedures) concerning transboundary movements that are applicable to those wastes:</p>	National waste codes ⁽¹⁾	Type of waste ⁽²⁾	Remarks, if any												
National waste codes ⁽¹⁾	Type of waste ⁽²⁾	Remarks, if any														

The same procedures as for wastes controlled according to Basel Convention (Annex VIII and II):

Other requirements (procedures):

If other, please specify the requirements (procedures):

⁽¹⁾The purpose of this request is to list the additional hazardous wastes according to Article 1(1)b. If the national definition is not based on Annex I of the Basel Convention (Y-codes), the national or regional waste code has to be provided. Please ensure that your listing is as precise and clear as possible.

⁽²⁾ Not required to fill in, if you have provided the waste code in column 1.

2c **Are there any wastes that are not hazardous, but require special consideration when subjected to transboundary movement (optional)?**
[\(Articles 4.11 and 13.3\(i\)\)](#)

Yes No

If yes:

(i) Please specify :

(ii) Tick the box or boxes below indicating the list or lists containing such wastes and, in the table below or as an attachment, list the wastes.

OECD

EU-Waste List

National (specify under remarks)

Other (specify under remarks)

General remarks, if any:

(iii) Attach a list or provide it in the following table:

National waste codes ⁽¹⁾	Type of waste ⁽²⁾	Remarks, if any

(box to select and upload file)

(iv) Specify any special considerations or requirements concerning transboundary movements that are applicable to those wastes:

⁽¹⁾ The purpose of this request is to list the additional wastes which require special consideration when subject to transboundary movement. The national or regional waste code should be provided. Please ensure that your listing is as precise and clear as possible.

⁽²⁾ Not required to fill in, if you have provided the waste code in column 1

Restrictions on Transboundary Movement of Hazardous Wastes and Other Wastes

<p>3a</p>	<p>Has the amendment to the Basel Convention (Decision III/1) been implemented in your country? (Articles 13.2(c), 13.2(d) and 13.3(c))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>Remarks:</p>
<p>3b</p>	<p>Are there in your country any restrictions on the <u>export</u> of hazardous wastes and other wastes for <u>final disposal</u> (Annex IV A)? (Articles 13.2(d), 13.3(c) and 13.3(i)).</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify:</p>
<p>(i)</p>	<p>The nature of the restriction:</p> <p>Total prohibition <input type="checkbox"/></p> <p>Partial restriction <input type="checkbox"/></p> <p>If partial restriction (e.g. depending on the intended final disposal operation) please specify the nature of the restriction:</p>
<p>(ii)</p>	<p>The country or region covered by this restriction:</p> <p>All countries <input type="checkbox"/></p> <p>non Parties to BC <input type="checkbox"/></p> <p>non-Annex VII countries <input type="checkbox"/></p> <p>non OECD countries <input type="checkbox"/></p> <p>non-EU countries <input type="checkbox"/></p> <p>other <input type="checkbox"/></p> <p>If other, please specify:</p>
<p>(iii)</p>	<p>The wastes covered by the restrictions:</p> <p>All wastes covered by BC <input type="checkbox"/></p> <p>Annex VIII BC <input type="checkbox"/></p> <p>Annex II BC <input type="checkbox"/></p> <p>Amber List OECD <input type="checkbox"/></p> <p>Amber List EU <input type="checkbox"/></p> <p>Article 1(1)b nationally defined hazardous wastes <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p> <p>If other, please specify:</p>

If possible, attach a list or provide it in the following table (optional):		
Waste code	Type of waste ⁽¹⁾	Remarks, if any
<i>(box to select and upload file)</i>		
⁽¹⁾ Not required to fill in, if you have provided the waste code in column 1		
(iv)	If possible, provide relevant legislation and its entry into force (optional):	
<i>(box to select and upload file)</i>		
(v)	Remarks:	
3c	Are there in your country any restrictions on the <u>export</u> of hazardous wastes and other wastes for <u>recovery</u> (Annex IV B)? (Articles 13.2(d), 13.3(c), 13.3(i)) Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please specify:	
(i)	The nature of the restriction: Total prohibition <input type="checkbox"/> Partial restriction <input type="checkbox"/> If partial restriction (e.g. depending on the intended Annex IV B disposal operation), please specify the nature of the restriction:	
(ii)	The country or region covered by this restriction: All countries <input type="checkbox"/> non Parties to BC <input type="checkbox"/> non-Annex VII countries <input type="checkbox"/> non OECD countries <input type="checkbox"/> non-EU countries <input type="checkbox"/> other <input type="checkbox"/> If other, please specify:	
(iii)	The wastes covered by the restrictions: All wastes covered by BC <input type="checkbox"/> Annex VIII BC <input type="checkbox"/> Annex II BC <input type="checkbox"/> Amber List OECD <input type="checkbox"/>	

	<p>Amber List EU <input type="checkbox"/></p> <p>Article 1(1)b nationally defined hazardous wastes <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p> <p>If other, please specify:</p> <p>If possible, attach a list or provide it in the following table (optional):</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 10px 0;"> <thead> <tr> <th style="width: 20%;">Waste code</th> <th style="width: 50%;">Type of waste⁽¹⁾</th> <th style="width: 30%;">Remarks, if any</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </tbody> </table> <p><i>(box to select and upload file)</i></p> <p><small>⁽¹⁾ Not required to fill in, if you have provided the waste code in column 1</small></p>	Waste code	Type of waste ⁽¹⁾	Remarks, if any									
Waste code	Type of waste ⁽¹⁾	Remarks, if any											
(iv)	<p>If possible, provide relevant legislation and its entry into force (optional):</p> <p><i>(box to select and upload file)</i></p>												
(v)	<p>Remarks:</p>												
3d	<p>Are there in your country any restrictions on the <u>import</u> of hazardous wastes and other wastes for <u>final disposal</u> (Annex IV A)? (Articles 13.2(c), 13.3(c) combined with 4.1(a), 13.3(i))</p> <p style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify:</p>												
(i)	<p>The nature of the restriction:</p> <p>Total prohibition <input type="checkbox"/></p> <p>Partial restriction <input type="checkbox"/></p> <p>If partial restriction (e.g. depending on the intended final disposal operation) please specify the nature of the restriction:</p>												
(ii)	<p>The country or region covered by this restriction:</p> <p>All countries <input type="checkbox"/></p> <p>non Parties to BC <input type="checkbox"/></p> <p>non-Annex VII countries <input type="checkbox"/></p> <p>non OECD countries <input type="checkbox"/></p> <p>non-EU countries <input type="checkbox"/></p> <p>other <input type="checkbox"/></p> <p>If other, please specify:</p>												

(iii)	<p>The wastes covered by the restrictions:</p> <p>All wastes covered by BC <input type="checkbox"/></p> <p>Annex VIII BC <input type="checkbox"/></p> <p>Annex II BC <input type="checkbox"/></p> <p>Amber List OECD <input type="checkbox"/></p> <p>Amber List EU <input type="checkbox"/></p> <p>Article 1(1)b nationally defined hazardous wastes <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p> <p>If other, please specify:</p> <p>If possible, attach a list or provide it in the following table (optional):</p> <table border="1" data-bbox="354 556 1404 793"> <thead> <tr> <th data-bbox="354 556 570 619">Waste code</th> <th data-bbox="570 556 1138 619">Type of waste⁽¹⁾</th> <th data-bbox="1138 556 1404 619">Remarks, if any</th> </tr> </thead> <tbody> <tr> <td data-bbox="354 619 570 661"></td> <td data-bbox="570 619 1138 661"></td> <td data-bbox="1138 619 1404 661"></td> </tr> <tr> <td data-bbox="354 661 570 703"></td> <td data-bbox="570 661 1138 703"></td> <td data-bbox="1138 661 1404 703"></td> </tr> <tr> <td data-bbox="354 703 570 745"></td> <td data-bbox="570 703 1138 745"></td> <td data-bbox="1138 703 1404 745"></td> </tr> <tr> <td data-bbox="354 745 570 793"></td> <td data-bbox="570 745 1138 793"></td> <td data-bbox="1138 745 1404 793"></td> </tr> </tbody> </table> <p><i>(box to select and upload file)</i></p> <p>⁽¹⁾ Not required to fill in, if you have provided the waste code in column 1</p>	Waste code	Type of waste ⁽¹⁾	Remarks, if any												
Waste code	Type of waste ⁽¹⁾	Remarks, if any														
(iv)	<p>If possible, provide relevant legislation and its entry into force (optional):</p> <p><i>(box to select and upload file)</i></p>															
(v)	<p>Remarks:</p>															
3e	<p>Are there in your country any restrictions on the <u>import</u> of hazardous wastes and other wastes for <u>recovery</u> (Annex IV B)? (Articles 13.2(c), 13.3(c) combined with 4.1(a), 13.3(i))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify:</p>															
(i)	<p>The nature of the restriction:</p> <p>Total prohibition <input type="checkbox"/></p> <p>Partial restriction <input type="checkbox"/></p> <p>If partial restriction (e.g. depending on the intended Annex IV B disposal operation), please specify the nature of the restriction:</p>															
(ii)	<p>The country or region covered by this restriction:</p> <p>All countries <input type="checkbox"/></p> <p>non Parties to BC <input type="checkbox"/></p> <p>non-Annex VII countries <input type="checkbox"/></p> <p>non OECD countries <input type="checkbox"/></p> <p>non-EU countries <input type="checkbox"/></p>															

	<p>other <input type="checkbox"/></p> <p>If other, please specify:</p>															
(iii)	<p>The wastes covered by the restriction:</p> <p>All wastes covered by BC <input type="checkbox"/></p> <p>Annex VIII BC <input type="checkbox"/></p> <p>Annex II BC <input type="checkbox"/></p> <p>Amber List OECD <input type="checkbox"/></p> <p>Amber List EU <input type="checkbox"/></p> <p>Article 1(1)b nationally defined hazardous wastes <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p> <p>If other, please specify:</p> <p>If possible, attach a list or provide it in the following table (optional):</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 10px 0;"> <thead> <tr> <th style="width: 20%;">Waste code</th> <th style="width: 50%;">Type of waste⁽¹⁾</th> <th style="width: 30%;">Remarks, if any</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </tbody> </table> <p><i>(box to select and upload file)</i></p> <p>⁽¹⁾ Not required to fill in, if you have provided the waste code in column 1</p>	Waste code	Type of waste ⁽¹⁾	Remarks, if any												
Waste code	Type of waste ⁽¹⁾	Remarks, if any														
(iv)	<p>If possible, provide relevant legislation and its entry into force (optional):</p> <p><i>(box to select and upload file)</i></p>															
(v)	<p>Remarks:</p>															
3f	<p>Are there any restrictions on the <u>transit</u> of hazardous wastes and other wastes through your country? (Article 13.3(i))</p> <p style="text-align: center;">Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify:</p>															
(i)	<p>The nature of the restriction:</p> <p>Total prohibition <input type="checkbox"/></p> <p>Partial restriction <input type="checkbox"/></p> <p>If partial restriction (e.g. depending on the intended disposal operation) please specify the nature of the restriction:</p>															

(ii)	<p>The country or region covered by this restriction:</p> <p>All countries <input type="checkbox"/></p> <p>non Parties to BC <input type="checkbox"/></p> <p>non-Annex VII countries <input type="checkbox"/></p> <p>non OECD countries <input type="checkbox"/></p> <p>non-EU countries <input type="checkbox"/></p> <p>other <input type="checkbox"/></p> <p>If other, please specify:</p>															
(iii)	<p>The wastes covered by the restrictions:</p> <p>All wastes covered by BC <input type="checkbox"/></p> <p>Annex VIII BC <input type="checkbox"/></p> <p>Annex II BC <input type="checkbox"/></p> <p>Amber List OECD <input type="checkbox"/></p> <p>Amber List EU <input type="checkbox"/></p> <p>Article 1(1)b nationally defined hazardous wastes <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p> <p>If other, please specify:</p> <p>If possible, attach a list or provide it in the following table (optional):</p> <table border="1" data-bbox="354 999 1404 1234"> <thead> <tr> <th data-bbox="354 999 570 1060">Waste code</th> <th data-bbox="570 999 1138 1060">Type of waste⁽¹⁾</th> <th data-bbox="1138 999 1404 1060">Remarks, if any</th> </tr> </thead> <tbody> <tr> <td data-bbox="354 1060 570 1104"></td> <td data-bbox="570 1060 1138 1104"></td> <td data-bbox="1138 1060 1404 1104"></td> </tr> <tr> <td data-bbox="354 1104 570 1148"></td> <td data-bbox="570 1104 1138 1148"></td> <td data-bbox="1138 1104 1404 1148"></td> </tr> <tr> <td data-bbox="354 1148 570 1192"></td> <td data-bbox="570 1148 1138 1192"></td> <td data-bbox="1138 1148 1404 1192"></td> </tr> <tr> <td data-bbox="354 1192 570 1234"></td> <td data-bbox="570 1192 1138 1234"></td> <td data-bbox="1138 1192 1404 1234"></td> </tr> </tbody> </table> <p><i>(box to select and upload file)</i></p> <p>⁽¹⁾ Not required to fill in, if you have provided the waste code in column 1</p>	Waste code	Type of waste ⁽¹⁾	Remarks, if any												
Waste code	Type of waste ⁽¹⁾	Remarks, if any														
(iv)	<p>If possible, provide relevant legislation and its entry into force (optional):</p> <p><i>(box to select and upload file)</i></p>															
(v)	<p>Remarks:</p>															

Control Procedure of the Transboundary Movement of Waste

<p>4a</p>	<p>Are the Notification and Movement document forms of the Basel Convention⁽¹⁾ used and/or accepted in the control of transboundary movement of hazardous wastes and other wastes? (Article 6, Annex V (Decision VIII/18) combined with Article 13.3(c), 13.3(i))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>(i) If yes, have there been any problems in the usage of the Notification and Movement document forms (optional)?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If you have encountered any problem, please explain:</p> <p>(ii) Provide information on any other forms which are used and/or accepted in the control of transboundary movement of hazardous wastes and other wastes:</p> <p>No other forms are used: <input type="checkbox"/></p> <p>Other forms are used: <input type="checkbox"/></p> <p>If other forms are used, please specify which:</p> <p>(1) The forms can be found in the Basel Convention website at: www.basel.int/Procedures/NotificationMovementDocuments.aspx.</p>
<p>4b</p>	<p>Which is (are) the acceptable language(s) to receive the Notification and Movement document forms as a (optional): (Article 13.3(i))</p> <p>state of import:</p> <p>state of transit:</p>
<p>4c</p>	<p>Do you have information requirements in addition to those listed in Annex V of the Basel Convention (and displayed in the Notification and Movement document forms)? (Article 6, 4(11) combined with Article 13.3(i))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify:</p>

Reduction and/or Elimination of the Generation of Hazardous Wastes and Other Wastes

5	<p>Have measures been undertaken for development of technologies for the reduction and/or elimination of the amount of hazardous wastes and other wastes <u>generated</u>: (Articles 4.2(a) and 13.3(h))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify at least one of the following measures:</p> <p>National strategies/policies Provide details:</p> <p>Legislation, regulations and guidelines Provide details:</p> <p>Others Provide details:</p> <p><input type="checkbox"/> No changes from the previous report</p> <p><i>(box to select and upload file(s))</i></p>
----------	---

Reduction of the Amount of Hazardous Wastes and Other Wastes Subject to the Transboundary Movement

6	<p>Have measures been undertaken for the reduction of the amount of hazardous wastes and other wastes <u>subject to the transboundary movement</u>: (Articles 4.2(d) and 13.3(b)iv)</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify at least one of the following measures:</p> <p>National strategies/policies Provide details:</p> <p>Legislation, regulations and guidelines Provide details:</p> <p>Others Provide details:</p> <p><input type="checkbox"/> No changes from the previous report</p> <p><i>(box to select and upload file(s))</i></p>
----------	--

Effect on Human Health and the Environment

7	<p>Are statistics (e.g. studies, reports) available, compiled by your country, on the effects of hazardous wastes and other wastes on human health and the environment? (Article 13.3(d))</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please specify or give details where information could be found or obtained:</p> <p>If possible, attach the document containing the statistics (optional):</p> <p><i>(box to select and upload file)</i></p>
---	--

Table 1: Bilateral, Multilateral or Regional Agreements or Arrangements in force in 20XX[\(Articles 11.2 and 13.3\(e\)\)](#)

Provide information concerning bilateral, multilateral or regional agreements or arrangements concluded pursuant to Article 11 of the Basel Convention that are valid in the reporting year:

Type of agreement (Bilateral, multilateral, regional)	<u>States and territories covered</u>	Remarks: (wastes covered, disposal operations, validity if not valid in the whole reporting year, etc.)

Table 2: Final disposal options operated within the National Jurisdiction

(Articles 4.2(b) and 13.3(g))

Summary information on disposal options:

Or sources from which such information, including on facilities, could be obtained (please provide contact information or a link):

Or provide the information by filling in the table below:

Facility/operation or process (Name, address, organization/company, etc.)	Description of the facility, operation or process	Final disposal operation (Annex IVA) D code	Capacity of the facility (in metric tons)	Does the facility treat wastes imported Yes/No

Remarks:

Table 3: Recovery options operated within the National Jurisdiction

[\(Articles 4.2\(b\) and 13.3\(g\)\)](#)

Summary information on recovery options:

Or sources from which such information, including on facilities, could be obtained (please provide contact information or a link):

Or provide the information by filling in the table below

Facility/operation or process (Name, address, organization/company, etc.)	Description of the facility, operation or process	Recovery operation (Annex IV B) R code	Capacity of the facility (in metric tons)	Does the facility treat wastes imported Yes/No

Remarks:

Table 4: Export of hazardous wastes and other wastes in 20XX[\(Article 13.3\(b\) i\)](#)No exportation took place in the reporting year:

If possible, provide a summary of detailed data from the table below (optional):

Summary data	
A	Total amount of hazardous wastes under Art. 1(1)a exported:
B	Total amount of hazardous wastes under Art. 1(1)b exported:
A+B	Total amount of hazardous wastes exported:
C	Total amount of other wastes exported (Annex II):
D	Total amount of additional waste exported, controlled according to national regulations (optional):
A+B+C+D	Total amount of controlled waste exported:

Provide detailed data in the table below:

Detailed data									
Category of waste				Hazardous characteristics ⁽⁵⁾					
Waste code			Type of waste ⁽⁴⁾	Basel Annex III code or national code (if Basel not applicable)	Amount exported (metric tons)	Country/ countries of transit ⁽⁶⁾	Country of destination ⁽⁶⁾	Final disposal operation (Annex IV A) D code	Recovery operation (Annex IV B) R code
Basel Annex VIII, II or IX ⁽¹⁾	Basel Annex I ⁽²⁾ (Y code)	National code ⁽³⁾							

(1) Crucial to fill in the codes of Annex VIII or, if applicable, of Annex II or IX.

(2) Crucial to fill in, if you have not provided a waste code in column 1 or 3.

(3) If applicable, fill in, in particular if there are no applicable waste codes in column 1.

(4) Not required to fill in, if you have provided a waste code in column 1 or 2.

(5) Not required to fill in, if you have provided waste code in column 1 or 2.

(6) Use 2 digits ISO codes

Remarks:

Table 5: Import of hazardous wastes and other wastes in 20XX[\(Article 13.3\(b\) ii\)](#)No importation took place in the reporting year:

If possible, provide a summary of detailed data from the table below (optional):

Summary data	
A	Total amount of hazardous wastes under Art. 1(1)a imported:
B	Total amount of hazardous wastes under Art. 1(1)b imported:
A+B	Total amount of hazardous wastes imported:
C	Total amount of other wastes imported (Annex II)
D	Total amount of additional waste imported, controlled according to national regulations (optional):
A+B+C+D	Total amount of controlled waste imported:

Provide detailed data in the table below:

Detailed data									
Category of waste				Hazardous characteristics ⁽⁵⁾					
Waste code			Type of waste ⁽⁴⁾	Basel Annex III code or national code (if Basel not applicable)	Amount imported (metric tons)	Country/countries of transit ⁽⁶⁾	Country of origin ⁽⁶⁾	Final disposal operation (Annex IV A) D code	Recovery operation (Annex IV B) R code
Basel Annex VIII, II or IX ⁽¹⁾	Basel Annex I ⁽²⁾ (Y code)	National code ⁽³⁾							

(1) Crucial to fill in the codes of Annex VIII or, if applicable, of Annex II or IX.

(2) Crucial to fill in, if you have not provided a waste code in column 1 or 3.

(3) If applicable, fill in, in particular if there are no applicable waste codes in column 1.

(4) Not required to fill in, if you have provided a waste code in column 1 or 2.

(5) Not required to fill in, if you have provided waste code in column 1 or 2.

(6) Use 2 digits ISO codes

Remarks:

Table 6: Total amount of generation of hazardous wastes and other wastes in the years indicated

(Article 4.2(a), 13.3(i) and Decision BC-10/2 on the Strategic Framework) (optional)

Total amount of hazardous wastes and other wastes generated (metric tons)											
	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Total amount of hazardous wastes generated in the years for which official data are available											
If possible, total amount of hazardous wastes under Art. 1 (1)a (Annex VIII) generated											
If possible, total amount of hazardous wastes under Art. 1 (1)b generated											
If possible, total amount of other wastes generated (Annex II)											

Remarks:

If possible, upload detailed national statistics on the generation of hazardous wastes⁽¹⁾ (optional):

(box to select and upload file)

(1) The breakdown can be done according to the Basel codes (e.g. Annex I, Annex VIII) or national codes.

Table 7: Disposals which did not proceed as intended⁽¹⁾[\(Article 13.3\(b\) iii\)](#)Did **disposals** which did not proceed as intended occur in the reporting year: Yes No If **yes**, please specify

Date of the incident	Country of export and country of import	Waste code	Type of waste ⁽²⁾	Amount (in metric tons)	If possible, specify reason for the incident	If possible, specify alternative measures taken

Remarks:

(1) It does not include illegal traffic shipments, which are covered by Table 9

(2) Not required to fill in, if you have provided the waste code in column 3

Table 8: Accidents occurring during the transboundary movement and disposal of hazardous wastes and other wastes

[\(Article 13.3\(f\)\)](#)

Did **accidents** occur during the transboundary movement and disposal of hazardous wastes and other wastes in the reporting year:

Yes No

If **yes**, please specify;

Date and place of the accident	Country of export and country of import	Waste code	Type of waste ⁽¹⁾	Amount (in metric tons)	Type of accident	Measures taken to deal with the accident

Remarks:

(1) Not required to fill in, if you have provided the waste code in column 3

Table 9: Cases of illegal traffic which have been closed in the reporting year⁽¹⁾

[\(Articles 9.5, 13.3\(c\), 13.3\(i\) and COP decisions related to illegal traffic\)](#)

Were **cases of illegal traffic** closed in the reporting year: Yes No

If **yes**, please specify:

Country of export and country of import	Waste code	Type of waste ⁽²⁾	Amount (metric tons)	Identification of the reason for illegality (possible reference to relevant Articles of Convention and national legislation)	Responsible for illegality (please tick ✓ as appropriate)			Measures taken including any punishment imposed
					Exporter or generator	Importer or disposer	other	

Remarks:

(1) For a more detailed form on confirmed cases of illegal traffic, see webpage of the Basel Convention: <http://www.basel.int/Procedures/ReportingonIllegalTraffic/tabid/1544/Default.aspx>

(2) Not required to fill in, if you have provided the waste code in column 2